

Transmittal Letter to the United States
Designated/Elected Office (DO/EO/US)

Attorney's Docket No. : **582/9-2294**
U.S. Application No. : To be assigned
Filed : Herewith – 2 October 2006
Applicant(s) : Albert BAUER
International Application No. : **PCT/EP2005/051516**
International Filing Date : **05 April 2005**
Priority Date Claimed : **07 April 2004**
Title of Invention : **COOLING AND/OR HEATING DEVICE**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. **X** This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. **X** The U.S. has been elected (Article 31).
5. **X** A copy of the International Application as filed [35 U.S.C. 371)(2)]
 - a) is transmitted herewith (required only if not transmitted by the International Bureau)
 - b) **X** has been transmitted by the international Bureau
 - c) is not required, as the application was filed in the United States Receiving Office (RO/US)
6. **X** A translation of the International Application into English [35 U.S.C. 371)(2)]
7. **X** Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371)(3)]
 - a) are transmitted herewith (required only if not transmitted by the International Bureau)
 - b) have been transmitted by the International Bureau
 - c) have not been made; however, the time limit for making such amendments has **NOT** expired.
 - d) **X** have not been made and will not be made
8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371)(3)]
9. An oath or declaration of the inventor(s) [35 U.S.C. 371)(4)]
10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371)(5)]

Items 11. to 17. below concern other document(s) or information included:

11. **X** An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98 (3 docs.)
12. An Assignment document for recording. A separate cover sheet (PTO-1595) in compliance with 37 CFR 3.28 and 3.31 are included.
13. **X** A **FIRST** preliminary amendment
14. **X** An Application Data Sheet under 37 CFR 1.76
15. **X** **THE APPLICANT AND/OR ASSIGNEE, IF ANY, HEREBY CLAIMS SMALL ENTITY STATUS.**
16. A power of attorney and/or change of address letter
17. **X** (other items or information) **A clean copy of the application in U.S. Format**

18. ☒ The following fees are submitted:

BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]:

CALCULATIONS

PTO USE ONLY

Basic National Fee.....	\$300.00		
Examination fee:			
___ International preliminary examination report prepared by USPTO and all claims satisfied provisions of PCT Article33(1)-(4).....	\$100.00		
___ All other situations.....	\$200.00		
Search Fee:			
___ Search Fee paid to the USPTO.....	\$100.00		
___ International Search Report Prepared and provided to the Office.....	\$400.00		
___ All other situations.....	\$500.00		
ENTER APPROPRIATE BASIC FEE AMOUNT:		\$1000.00	
Claims	Number filed	Number extra	Rate
Total Claims	10 -20=	0 x	\$ 50 =
Indep. Claims	1 -03=	0 x	\$ 200 =
___ Multiple Dependent Claim(s) (if applicable)			+ \$360.00
TOTAL OF ABOVE CALCULATIONS:		\$1000.00	
Additional fee for specification and drawings filed in paper over 100 pages-\$250 for each additional 50 sheets of papers or fraction thereof.		\$ 0	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date [37 CFR 1.492(e)]		\$ 0	
TOTAL OF ABOVE CALCULATIONS:		\$ 1000.00	
Reduction by 1/2 for filing by small entity, if applicable. [Note 37 CFR 1.9, 1.27, 1.28]		(\$500)	
SUBTOTAL:		\$500.00	
Processing fee of \$130.00 for furnishing the English Translation later than 30 months from the earliest claimed priority date [37 CFR 1.492(f)]			
TOTAL NATIONAL FEE:		\$500.00	
Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property +		\$	
TOTAL FEES ENCLOSED:		\$500.00	
Amount to be refunded/charged			\$

___ A check in the amount of \$_____ to cover the above fees in enclosed.

☒ The Commissioner is hereby authorized to charge the deposit account any other fees required with this submission or to credit any overpayment to Deposit Account No: 04-0838. A duplicate of this form is enclosed.**SEND ALL CORRESPONDENCE TO: CUSTOMER NO. 28147**_____/WJS/_____

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